

Assessment of femtosecond laser induced periodic surface structures on polymer films

Cite this: *Phys. Chem. Chem. Phys.*, 2013, **15**, 11287

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In this work we present the formation of laser induced periodic surface structures (LIPSS) on spin-coated thin films of several model aromatic polymers including poly(ethylene terephthalate), poly(trimethylene terephthalate) and poly carbonate bis-phenol A upon irradiation with femtosecond pulses of 795 and 265 nm at fluences well below the ablation threshold. LIPSS are formed with period lengths similar to the laser wavelength and parallel to the direction of the laser polarization vector. Formation of LIPSS upon IR irradiation at 795 nm, a wavelength at which the polymers absorb weakly, contrasts with the absence of LIPSS in this spectral range upon irradiation with nanosecond pulses. Real and reciprocal space characterization of LIPSS obtained by Atomic Force Microscopy (AFM) and Grazing Incidence Small Angle X-ray Scattering (GISAXS), respectively, yields well correlated morphological information. Comparison of experimental and simulated GISAXS patterns suggests that LIPSS can be suitably described considering a quasi-one-dimensional paracrystalline lattice and that irradiation parameters have an influence on the order of such a lattice. Fluorescence measurements, after laser irradiation, provide indirect information about dynamics and structure of the polymer at the molecular level. Our results indicate that the LIPSS are formed by interference of the incident and surface scattered waves. As a result of this process, heating of the polymer surface above its glass transition temperature takes place enabling LIPSS formation.

Received 10th April 2013,
Accepted 9th May 2013

DOI: 10.1039/c3cp51523k

www.rsc.org/pccp

1 Introduction

In recent years nanofabrication using femtosecond laser irradiation has attracted much interest and has been demonstrated to be a promising method for surface nanostructuring of metals,¹ semiconductors,² and polymers.³ In particular, laser-induced morphological changes, such as the formation of ripples,^{4,5} bubbles,⁶ and conical structures,⁷ have been extensively studied.

Laser induced periodic surface structures (LIPSS) have been observed substantially near the ablation threshold by many authors since the earlier investigations on laser ablation four decades ago. Ripple formation was first observed in semiconductors irradiated with a Q-switched ruby laser⁸ and, since then, these kinds of structures have been generated on a wide variety of materials^{4,5,9–11} under different conditions. Despite the extensive studies on LIPSS, only a few references report

about LIPSS on polymers upon irradiation in the femtosecond regime.^{12–16} This is surprising, in comparison to the wealth of information on laser processing of polymers with pulsed UV-laser light and pulse lengths of, typically, up to tens of nanoseconds^{17–20} at fluences both below and above the ablation threshold. Additionally, for fs irradiation of polymers, LIPSS have been mostly observed at the bottom of ablation craters.

The great variety of experimental studies on LIPSS was subsumed into various theoretical approaches.^{21–23} In the most common type of surface topography, a periodicity of about the wavelength of the laser radiation is observed. This has been attributed to the interference between the incident and scattered light or excited surface waves.^{22,24,25} Interference between incident linearly polarized light and the surface scattered wave generated during the pulse leads to a periodic modulation of the absorbed light intensity, and consequently to a modulated surface roughening which enhances the development of the structures *via* feedback. However, periodic surface structures induced by fs laser irradiation (fs-LIPSS) do not fully comply with previous models on LIPSS formation developed for ns laser irradiation.^{22,26} The interference model was first suggested by Emmony *et al.*²⁵ and was later improved by several other authors.^{21,22} Particularly, the work

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of Sipe *et al.*²² represents a first-principle theory which takes into consideration the interaction of an electromagnetic wave with a microscopically rough surface and also includes the possible excitation of surface plasmon polaritons (SPPs). Also according to Sipe *et al.*,²² efficient surface rippling can be enhanced due to the redistribution of the energy from subsequent laser pulses through a feedback effect. In later publications, Young and co-workers, as well as Clark and Emmony, applied this first-principle theory to explain the formation of LIPSS in semiconductors^{27–29} and metals.^{27,29} Some other theoretical attempts have been made to describe fs-LIPSS based on well-known models from hydrodynamics of thin films³⁰ and on the Sipe–Drude model^{5,31} which considers the laser induced changes in the complex refractive index due to transient generation of quasi-free electrons in the conduction band of the solid. It has also been shown experimentally that the laser polarization plays a very important role in determining the orientation of the ripples.^{9,10} Together with LIPSS characterized by a period close to the irradiation wavelength, also called low spatial frequency LIPSS (LSFL), in the case of fs irradiation, a different type of periodic structure, or high spatial frequency LIPSS (HSFL), have been obtained.^{5,9,32–34} HSFL have periodicities significantly smaller than the irradiation wavelength and are usually observed for irradiation with ultrashort laser pulses, predominantly for frequencies below band-gap excitation of transparent materials and for repetitive irradiation. The origin of HSFL is still quite controversial and to explain the formation of this kind of structures, different mechanisms have been proposed. These include interference effects along with transient changes in the optical properties during laser irradiation,³⁵ second harmonic generation,³² excitation of surface plasmon polaritons,³⁶ or self-organization effects.⁹ Other authors¹⁰ have proposed a different type of mechanism in which the laser irradiation first originates a highly electrostatically unstable surface. This is followed by emission of high momentum surface ions, which in turn induces an appreciable recoil pressure on the substrate. In this case, ripples would arise from relaxation rather than from wave interference effects.

To determine the temperatures involved in the formation of fs-LIPSS, a two temperature model (TTM) has been proposed.^{37,38} In this model, electrons and lattice subsystems are described separately by their own temperature distribution. The electronic temperature is estimated assuming that the laser energy is first transferred to the electrons, and then the lattice temperature is determined by assuming energy transfer from the electrons to the lattice. This model has been mainly used to simulate the interaction of short pulses with metals, but has also been applied to other materials such as silicon.³⁷ However, the strong non-equilibrium conditions associated with ultrashort pulsed laser interaction with solids makes a detailed theoretical analysis difficult. In the case of soft materials, such as polymers, numerical simulations of the evolution of temperature distribution on the surface and within the bulk have also been reported, considering again the generation of free electrons.³⁹

In this work we investigate the formation of fs-LIPSS on thin polymer films upon irradiation with UV (265 nm) and IR (795 nm) pulses. LIPSS of periods close to the laser wavelength,

and parallel to the polarization direction, were obtained. The nanostructured surfaces were characterized in real space by Atomic Force Microscopy (AFM), and in reciprocal space by Grazing Incidence Small Angle X-ray scattering (GISAXS), according to a methodology previously described.^{19,40} As reported, diffraction can be complementary to AFM for LIPSS characterization since it provides inspection of larger areas than those observed by AFM (typically up to a few millimeters in length). Due to the fact that in GISAXS experiments diffraction is induced by a weak evanescent superficial wave, the use of X-ray synchrotron radiation is especially advantageous.⁴¹ Finally, Raman and fluorescence spectroscopies provided information about chemical and structural modification induced by laser irradiation.

2 Experimental section

Three different polymers, poly(ethylene terephthalate) (PET) (Rhodia S80 from RhodiaSter), poly(trimethylene terephthalate) (PTT)⁴² and polycarbonate bisphenol A (PC) (Lexan ML3021A, SABIC I-P (Innovative Plastics)), were investigated. Some pertinent physical magnitudes are listed in Table 1. Prior to irradiation, UV-visible absorption spectra of the different polymers casted on quartz substrates were recorded using a UV-visible spectrophotometer (Perkin Elmer U/V Lambda 16) in the range of 190–800 nm. The linear absorption coefficients determined at the two different wavelengths (265 and 795 nm) employed in the experiments are reported in Table 1. Polymer thin films were prepared by spin-coating on silicon wafers (100) polished on both surfaces. The wafers were previously cleaned with a piranha solution (H_2SO_4 : H_2O_2 3:1). PET and PTT were solved in trifluoroacetic acid (Sigma-Aldrich, reagent grade $\geq 98\%$), and PC in chloroform (Riedel-de Haën 99%), in a concentration of 20 g L^{-1} for PET and PTT and of 30 g L^{-1} in the case of PC. A fixed volume of 0.1 mL of polymer solution was instantly dropped using a syringe on a rectangular ($2 \times 2.5 \text{ cm}^2$) silicon substrate placed in the center of a rotating horizontal plate. Samples were rotated for 30 s at 2380 rpm. Thickness of the polymer films, determined by AFM by measuring the depth of a scratch, was *ca.* 150 nm and the original spin-coated samples presented a flat surface, with mean roughness value¹⁹ (R_a) $\leq 1 \text{ nm}$.

Laser irradiation was carried out in ambient air with the linearly polarized laser beam of an ultrashort pulsed laser system, which consists of a commercial Ti:sapphire oscillator (Tsunami, Spectra Physics) and a regenerative amplifier system (Spitfire, Spectra Physics) based on the chirped pulse amplification technique. The system produces linearly polarized 120 fs

Table 1 Weight average molecular weight (M_w), number average molecular weight (M_n), melting temperature (T_m) and glass transition temperature (T_g) as determined by calorimetry, and linear absorption coefficients (α) at 265 and 795 nm

Material	$M_w/\text{g mol}^{-1}$	$M_n/\text{g mol}^{-1}$	$T_m/^\circ\text{C}$	$T_g/^\circ\text{C}$	$\alpha_{265}/\text{cm}^{-1}$	$\alpha_{795}/\text{cm}^{-1}$
PET	27 600	18 800	252	75	18 000	835
PTT	42 000	21 000	229	44	26 000	705
PC	44 400	23 500	267	151	18 000	365

pulses at 795 nm with a repetition rate of 1 kHz. The pulse energy can reach a maximum of 1 mJ and it is finely controlled by a half-wave plate and a linear polarizer. Neutral density filters were used when further energy reduction was required. The average power of the beam is measured using a thermopile detector (407A, Spectra Physics). The transversal mode is nearly a Gaussian TEM₀₀ with a 10 mm beam diameter (at $1/e^2$).

The second harmonic of the fundamental laser output (398 nm central wavelength) was generated in a 1 mm thick BBO crystal (type-I, $\theta = 29^\circ$), and the third harmonic (265 nm central wavelength) was obtained in a second BBO crystal (1 mm thick, type-I, $\theta = 44^\circ$) by sum-frequency mixing of the fundamental with the second harmonic beams. An estimation of the temporal duration of these UV pulses is 260 fs (taking into account the group-velocity mismatch of the interacting pulses in the crystal), and the maximum available pulse energy is 40 μ J.

For irradiation of samples, the fs laser beam impinged perpendicularly onto the target surface which was placed on a motorized XYZ translation stage, where the Z axis is perpendicular to the sample surface. In the case of irradiation at 795 nm, the pulses were focused on the surface using a biconvex, achromatic spherical lens with a focal length of 100 mm, and an uncoated fused silica plano-convex spherical lens with a focal length of 100 mm was used for irradiation at 265 nm. Dependence of the formed structures on the laser fluence F and number of pulses N was studied. The value of N was selected using an electromechanical shutter. Additionally, samples for GISAXS and Raman analysis, which require larger processed areas, were irradiated using a sample scanning process. The scanning speed, and consequently the spatial overlap of successive pulses, was chosen to ensure the delivery of the optimal amount of pulses for LIPSS formation. The total irradiated area was around $4 \times 4 \text{ mm}^2$.

Topography of the polymer films was examined *via* Atomic Force Microscopy (AFM, Nanoscope IIIA Multimode, Veeco) in tapping mode and images were analysed using the software Nanoscope Analysis 1.10. The periods and heights of LIPSS were determined from AFM analysis by averaging the results of three different areas in each sample. Values of the period were derived from Fast Fourier Transform (FFT) analysis (spatial frequency in the direction perpendicular to the LIPSS). Irradiated areas were also analyzed by GISAXS using the facilities of the BW4 beamline at HASYLAB (DESY, Hamburg, Germany). The experimental set-up was described elsewhere^{19,43} but a brief description is as follows. An X-ray beam of wavelength $\lambda = 0.13808 \text{ nm}$ and a cross section size ($H \times V$) of $40 \times 20 \mu\text{m}^2$ was used in our experiments. Samples are placed horizontally in such a way that the incident X-ray beam was parallel to the direction of the LIPSS and acquisition times of typically 200 s were used. In order to do this, the rotational angle between the ripples direction and the X-ray beam was varied and optimized to obtain the best pattern in terms of symmetry. An incidence angle $\alpha_i = 0.4^\circ$ was chosen to ensure full penetration in the sample. The scattered intensity was recorded using a Mar CCD detector of 2048×2048 pixels with a resolution of $79.1 \mu\text{m}$ per pixel,

using a distance sample-to-detector of 2.211 m. Lateral correlation between scattering objects (ripples) on the film surface gives scattered intensity maxima appearing out of the meridian.⁴⁴ The treatment of the GISAXS images was performed using the software Fit2D.⁴⁵ GISAXS measurements provided an independent determination of the LIPSS period, to be compared with the value obtained by AFM.

Raman spectra were recorded using a micro-Raman spectrometer (Renishaw InVia 0310-02), equipped with a CCD and using a 532 nm laser as an excitation source, operating at a power level of around 5 mW. The spectra were acquired by collecting scattered light in back scattering geometry, using a $50\times$ magnification objective. Acquisition time and accumulations were 10 s and 10, respectively, and the spectral resolution was 2 cm^{-1} . Fluorescence spectra were also acquired with the same instrument and excitation source (532 nm) using again a $50\times$ magnification objective. A power of 25 mW was applied for recording the fluorescence spectra of polymers, both non-irradiated and irradiated at 795 nm. For samples irradiated at 265 nm, laser excitation powers were limited to 0.5 mW (PTT) and 0.05 mW (PET and PC) to avoid sample burning. Additional fluorescence measurements were performed by excitation at 325 nm, a wavelength commonly used for measuring polymer fluorescence. In this case, an objective for NUV of $15\times$ was employed, while the power for measurements was kept very low, 0.01 mW, in order to avoid damage of the polymer film surface.

3 Results

3.1 AFM

After laser irradiation within an appropriate fluence range, well below the ablation threshold, and with a given number of pulses, ripples aligned parallel to the polarization vector of the laser beam were observed. It is important to point out that these periodic structures were not created at the bottom of ablation craters but on the original film surface. In fact, the AFM analysis of the boundary between a non-irradiated and an irradiated area shows that the peaks and valleys of the ripples lie, respectively, above and below the initial surface level.¹⁵ The height of the untreated surface is equivalent to the average height of the nanostructured region of the polymer film, thus confirming that the amount of material removed during laser irradiation is negligible and that the structures originate from a self-organization process not involving ablation.

Fig. 1 displays typical AFM height images of areas of PET, PTT and PC irradiated at 265 nm with 5000 pulses at different fluences. For low fluences ($F < 1 \text{ mJ cm}^{-2}$), no morphological changes are induced on the polymer surface and the roughness remains constant. At around 1 mJ cm^{-2} , structures start to be formed and develop in the form of ripples, which are parallel and well defined for fluences above 1 mJ cm^{-2} . At approximately $1.6\text{--}1.9 \text{ mJ cm}^{-2}$ (slightly higher values in the case of PC) LIPSS start to distort. Finally, disruption and ablation of the polymer film takes place at even higher values of F , above 2.3 mJ cm^{-2} .¹⁵

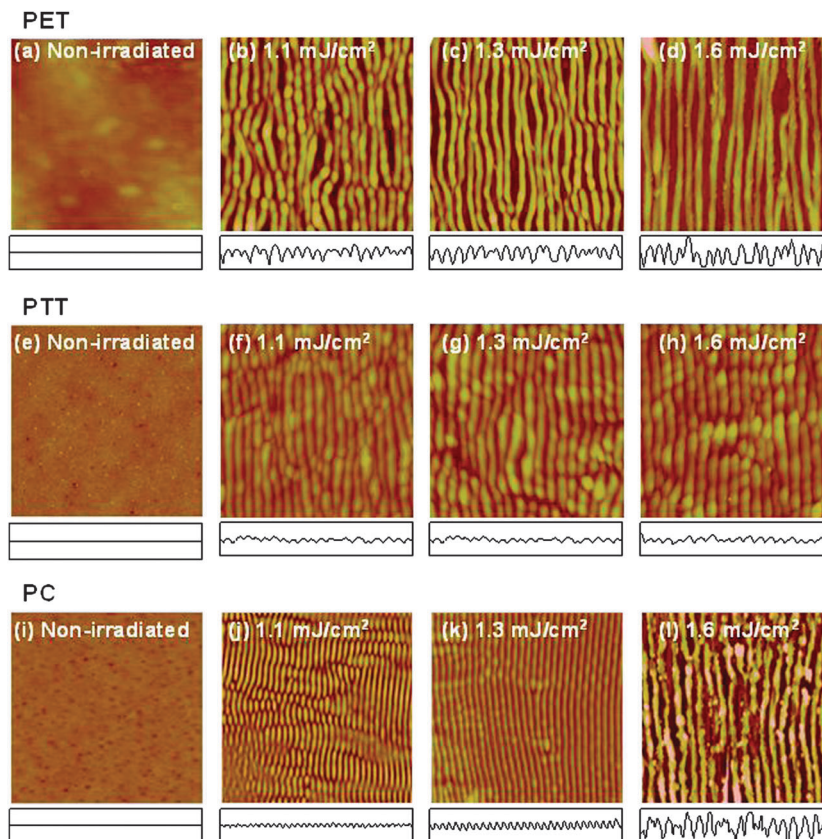


Fig. 1 AFM height images ($5 \times 5 \mu\text{m}^2$) of PET, PTT and PC irradiated at 265 nm with 5000 pulses at the indicated fluences. Images of as-spun polymers are shown as reference. The height profile along a $5 \mu\text{m}$ line perpendicular to the ripples is shown below every image (height scale: 250 nm).

The period and height of the structures are plotted in Fig. 2. It is observed that both magnitudes increase with fluence until a plateau is reached at *ca.* 1.5 mJ cm^{-2} . The final period is of the order of the laser wavelength. As shown, similar results were obtained for all the three polymers for the same range of fluences. It has to be noted that irradiation of the spin-coated films with pulses of 6 ns at 266 nm also yielded LIPSS with a wavelength-sized period.¹⁹

In the same way, the dependence of LIPSS dimensions on the number of pulses for a constant fluence was also evaluated. Particularly, for irradiation at 1.4 mJ cm^{-2} the corresponding AFM height images are shown in Fig. 3.

Repetitive irradiation was needed for LIPSS formation, confirming that a feedback mechanism must be involved in LIPSS formation, as it is also accepted for irradiation with ns pulses.¹⁹ Again, both the period and the height of the structures increase with *N* until a plateau is reached after a few thousand pulses, as shown in Fig. 2.

LIPSS were also observed upon irradiation at 795 nm in a narrow range of fluences. Fig. 4 shows AFM images of PET and PTT irradiated at 795 nm with 50 000 pulses and different fluences.

LIPSS are clearly observed at 35 and 36 mJ cm^{-2} . However, above 37 mJ cm^{-2} , the polymer thin film structure appears to be distorted and higher fluences induce ablation of the film surface. The period and height of ripples as a function of *F* in this case are shown in Fig. 5.

Periods of the ripples are again of the order of the laser wavelength and their height is systematically larger than that observed in the case of UV irradiation. The dependence of ripple parameters on the number of pulses was also studied. The main difference with the results obtained upon UV irradiation concerns the larger number of pulses needed to develop the periodic structures. Fig. 6 shows, as an example, AFM height images of LIPSS formed upon irradiation with different number of pulses at a constant irradiation fluence of 37 mJ cm^{-2} , the corresponding period and height being shown in Fig. 7.

3.2. GISAXS

GISAXS is a powerful technique, which is gaining more and more interest for the investigation of nanostructures.^{46,47} The GISAXS scattering pattern provides structural information over a large sample volume covered by the footprint of the impinging X-ray beam on the sample surface of typically up to a few millimeters in the X-ray beam direction, while AFM provides mostly information of a microscopic superficial region.

Fig. 8 shows, as an example, characteristic GISAXS patterns of representative rippled polymer films formed upon laser irradiation with fs pulses. This type of structures typically presents a scattering pattern consisting of elongated vertical rods along the ω -axis, which are consecutive orders of a first one whose spacing is the reciprocal value of the ripple period.⁴⁸ Fig. 8 also shows cuts of the scattered intensity along the ω -axis,

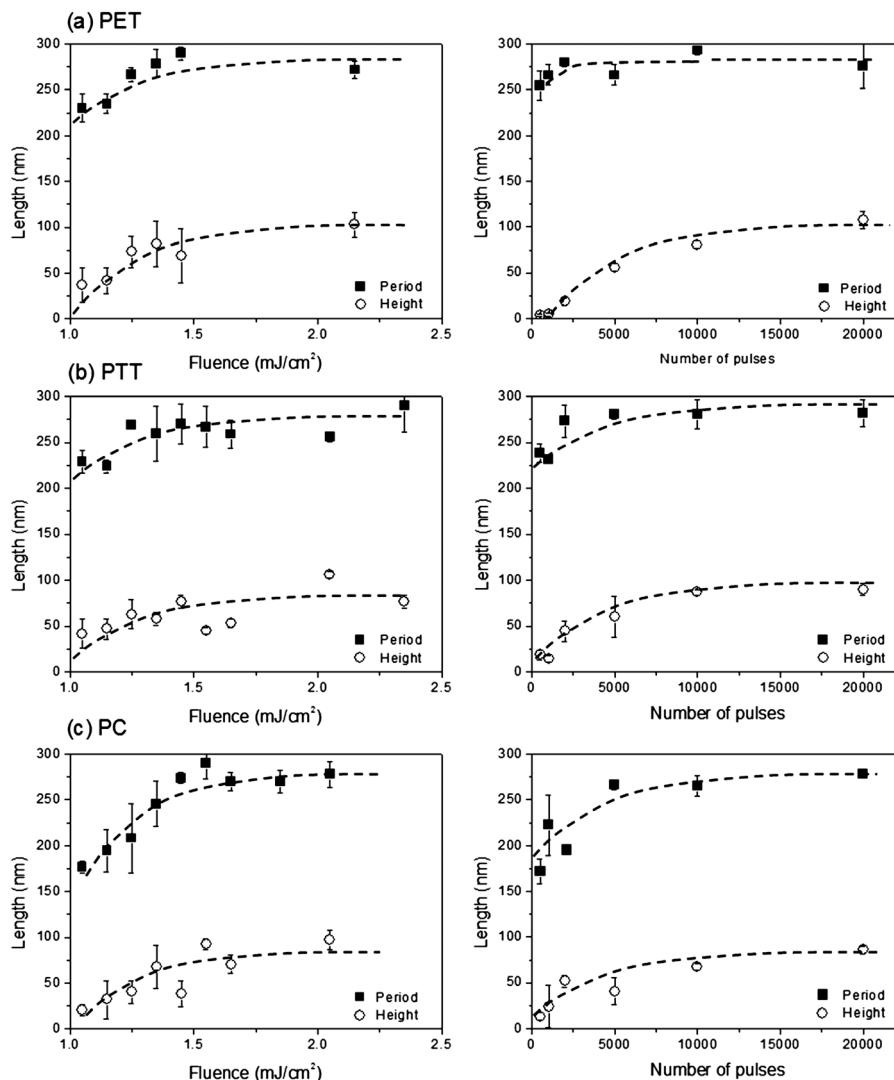


Fig. 2 Period and height of LIPSS formed upon irradiation at 265 nm with 5000 pulses as a function of irradiation fluence (left) and at a fluence of 1.4 mJ cm^{-2} as a function of the number of pulses (right) for (a) PET, (b) PTT and (c) PC. The lines are plotted as visual guides.

extracted from the GISAXS patterns at an exit angle $\alpha = 0.2^\circ$. Spacing of the first lateral maximum from the GISAXS patterns, L , can be determined through the expression $L = 2\pi/q_y^{\max}$, where q_y^{\max} is the reciprocal scattering vector defined⁴⁶ as $q_y = (2\pi/\lambda) \sin \omega \cos \alpha$. For our irradiated polymers it was found that the spacing values are in good agreement with the periods obtained by AFM. The periods determined by GISAXS from the patterns of Fig. 8 are 231, 238 and 245 nm, for PET, PTT and PC respectively.

To gain knowledge about the origin of the observed scattering features we carried out simple simulations of the GISAXS patterns by using the software package IsGISAXS.^{45,49,50} The Distorted Wave Born Approximation (DWBA) was used, assuming a simple nanostructure consisting of polymer boxes standing on the polymer film. As reported previously,⁴⁰ the boxes used to model the nanostructures have typical dimensions as determined by AFM, *i.e.*, width ($2R$), height (H), period (L), and length (W). The values used for the simulations shown in Fig. 8 are listed in

Table 2. Gaussian distributions for R and H with σ_R/R and σ_H/H *ca.* 0.1 were assumed, being σ_R and σ_H the width of the distributions. The parallel boxes were arranged at the surface and a one-dimensional paracrystalline lattice approach was assumed similarly as we did for LIPSS obtained upon irradiation with ns pulses.⁴⁰ In a paracrystal the long range order disappears gradually in a probabilistic way.^{45–47,51} The probability of finding a particle at a distance L is defined by a function $p(x)$ that was considered as a Gaussian in the present simulation:

$$p(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp \left[-\frac{(x-L)^2}{2\sigma^2} \right] \quad (1)$$

As the paracrystalline disorder parameter $g = \sigma/L$ increases the lattice disorder increases, while for very small g values, a 1D crystalline lattice is obtained. Finite size effects of the 1D paracrystal are introduced through the correlation length, Δ_0 .⁴⁵

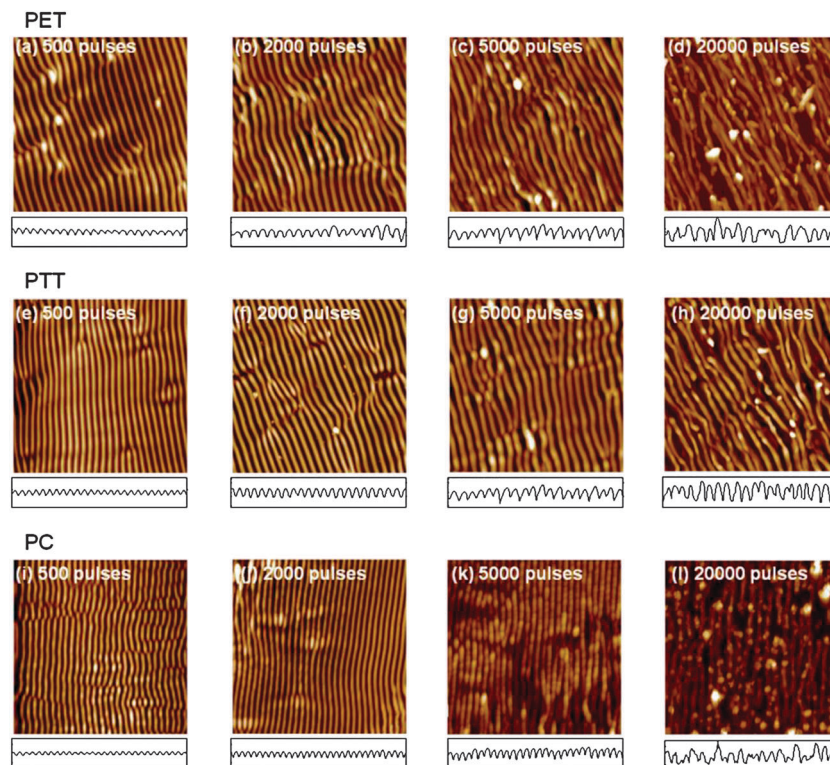


Fig. 3 AFM height images ($5 \times 5 \mu\text{m}^2$) of PET, PTT and PC irradiated at 265 nm with different number of pulses, as indicated, at the fluence of 1.4 mJ cm^{-2} . The height profile along a $5 \mu\text{m}$ line perpendicular to the ripples is shown below every image (height scale: 250 nm).

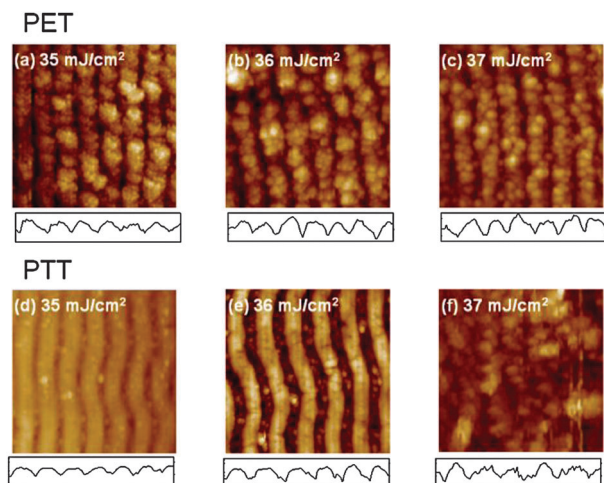


Fig. 4 AFM height images ($5 \times 5 \mu\text{m}^2$) of PET and PTT irradiated at 795 nm with 50 000 pulses at the indicated fluences. The height profile along a $5 \mu\text{m}$ line perpendicular to the ripples is shown below every image (height scale: 250 nm).

Fig. 8 (right) shows the simulated GISAXS patterns obtained with the geometrical parameters of the scatterer and the g value considered for the modelling (Table 2). For the sake of comparison, the corresponding intensity profiles at an exit angle of $\alpha = 0.2^\circ$, normalized to the first correlation peak, both of the experimental and simulated GISAXS patterns, have also been included in Fig. 8 (middle panel). These simulations strongly

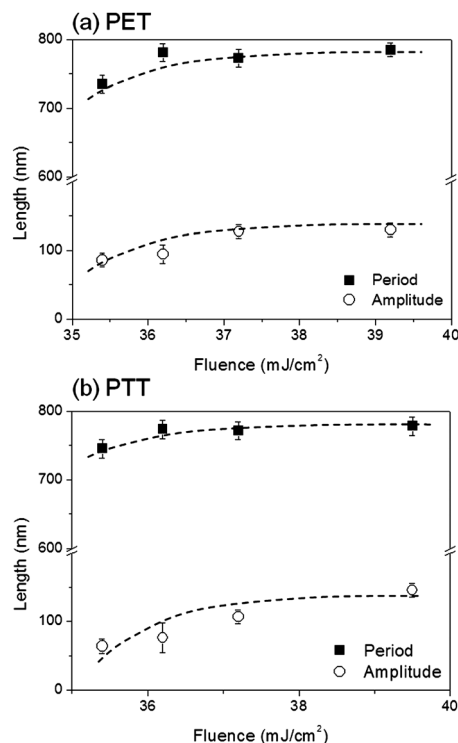


Fig. 5 Period and height of LIPSS formed upon irradiation at 795 nm with 50 000 pulses as a function of irradiation fluence for (a) PET and (b) PTT. The lines are plotted as visual guides.

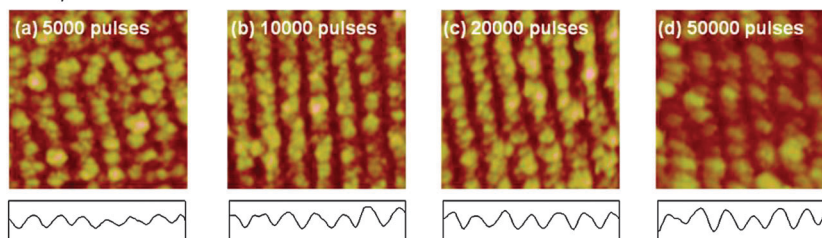
PET, 37 mJ/cm²

Fig. 6 AFM height images ($5 \times 5 \mu\text{m}^2$) of PET irradiated at 795 nm with different pulses, as indicated, at the fluence of 37 mJ cm^{-2} . The height profile along a $5 \mu\text{m}$ line perpendicular to the ripples is shown below every image (height scale: 250 nm).

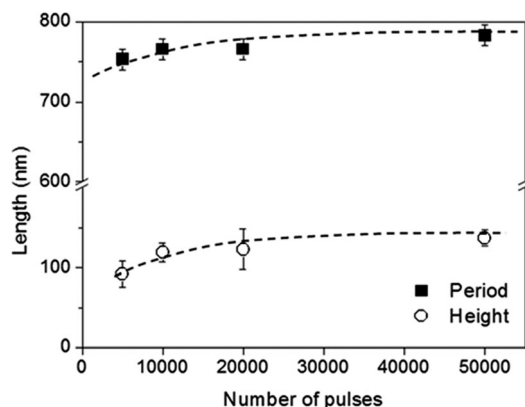


Fig. 7 Period and height of LIPSS formed upon irradiation at 795 nm at a fluence of 37 mJ cm^{-2} as a function of the number of pulses for PET. The lines are plotted as visual guides.

suggest that LIPSS can be quite accurately described considering a quasi-one-dimensional paracrystalline lattice, as it was also

Table 2 Geometric parameters of the scatterer (box) used for simulation of GISAXS patterns shown in Fig. 8: width ($2R$), height (H), length (W), period (L), paracrystalline disorder parameter (g) and correlation length (Δ_0). Gaussian distributions for R and H with σ_R/R and σ_H/H ca. 0.1 were assumed

Sample	$2R/\text{nm}$	H/nm	W/nm	L/nm	g	Δ_0/nm
PET	164	44	1000	238	0.062	2350
PTT	158	68	1000	234	0.060	2450
PC	164	100	1000	246	0.069	3200

reported in the case of LIPSS upon irradiation with ns pulses.^{19,40} As the simulation yields information about the order of the structures, through the g -parameter, it is possible to derive, for each sample, the optimal irradiation conditions (F and N) that yield the lowest value of g , and therefore optimize LIPSS formation. Fig. 9 shows the dependence of the paracrystalline disorder parameter, g , as a function of the laser fluence for 3300 pulses. The obtained g -values are comparable to those obtained for LIPSS polymer structures using ns pulses.^{40,48} It seems that the degree of structural order improves when F increases, and that for this

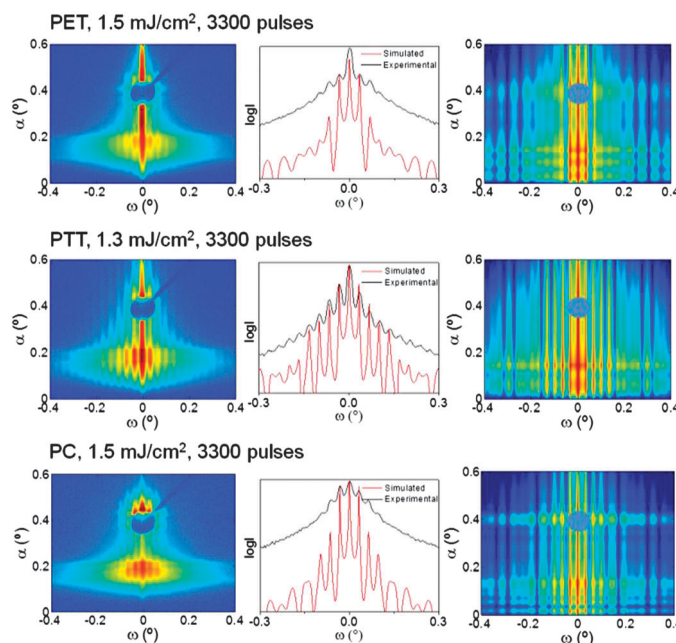


Fig. 8 (Left) Experimental GISAXS patterns of PET, PTT and PC irradiated at 265 nm under the indicated conditions. (Center) Experimental (black lines) and simulated (red lines) intensity profiles taken at a fixed exit angle $\alpha = 0.2^\circ$. (Right) Corresponding simulated GISAXS patterns.

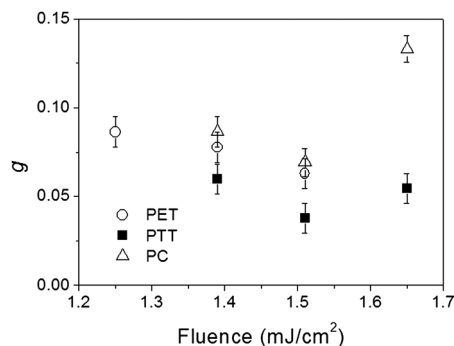


Fig. 9 Paracrystalline disorder parameter, g , estimated by modelling, as a function of laser fluence for the LIPSS generated upon fs laser irradiation at the wavelength of 265 nm, for a number of pulses of 3300.

value of N the optimum structural order of LIPSS is achieved at $F \approx 1.5 \text{ mJ cm}^{-2}$, in agreement with AFM observations. At the highest fluence tested for PC and PTT, a distortion of the structure is evident, probably due to the onset of ablation.

3.3. Spectroscopic analysis

Raman and fluorescence spectra of the nanostructured polymers were recorded in order to obtain information about both the possible chemical modifications and dynamics induced upon laser irradiation. Micro-Raman spectra excited at 532 nm of the polymers irradiated upon UV light (not shown) display an increase of the fluorescence background with respect to the non-irradiated samples, indicating that additional modifications are induced on the sample surface, besides the topological one. Fig. 10 shows the Raman spectra collected on the polymer samples before and after irradiation at 795 nm, applying 50 000 pulses at 37 mJ cm^{-2} . For PET, the spectra corresponding to the non-irradiated and irradiated films do not differ substantially, while for PTT and PC, the appearance of new weak bands at around 1580 and 1340 cm^{-1} , assigned to amorphous carbon,⁵² provides evidence of slight carbonization of the film surface upon irradiation.

Fluorescence spectra of polymer films were recorded upon excitation at the two wavelengths of 532 nm and 325 nm. Fig. 11 shows the spectra acquired at 532 nm of samples before and after irradiation. Before irradiation, the spectra of the three polymers present three broad bands with maxima at around 600, 700 and 960 nm, the latter displaying the highest intensity for PET and PTT. LIPSS formation at 265 nm gives rise to significant changes in the fluorescence spectra. It is observed that the intensity of the bands at 600 and 700 nm increases, which is related to the increase of fluorescence recorded by exciting the Raman spectra at 532 nm. It is also observed that the relative intensity of these two bands reverses with respect to the non-irradiated case. Additionally, the band at 960 nm disappears. Fluorescence of the films nanostructured at 795 nm shows variations in the intensity of the 960 nm band, which are more pronounced in the case of PC. No information is found in the literature concerning fluorescence emission at the long excitation wavelength of 532 nm, therefore the assignment of the observed bands is uncertain.

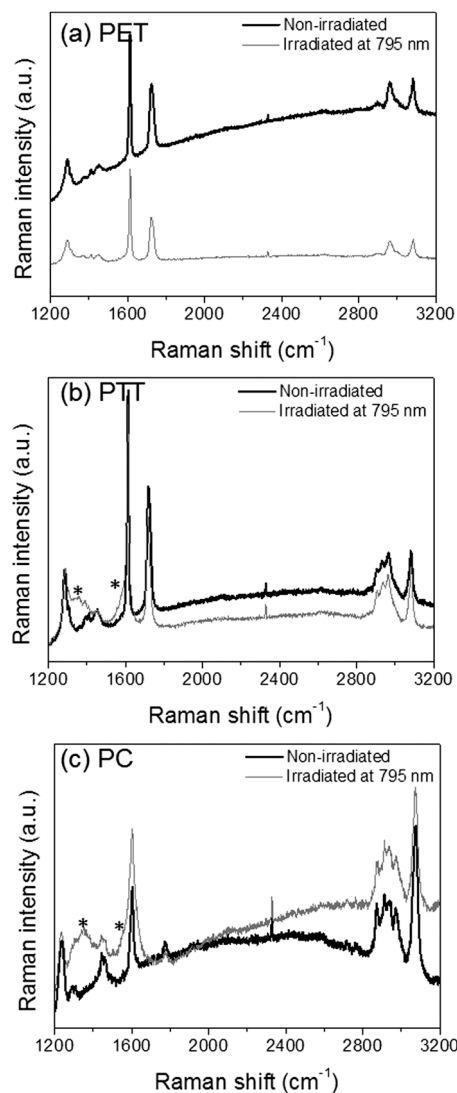


Fig. 10 Micro-Raman spectra ($\lambda_{\text{laser}} = 532 \text{ nm}$) of spin-coated polymer films before (black) and after (grey) irradiation at 795 nm with 50 000 pulses at 37 mJ cm^{-2} (a) PET, (b) PTT and (c) PC. Bands assigned to amorphous carbon are indicated with an asterisk.

More information on fluorescence of the samples was gathered by recording the spectra at 325 nm, as fluorescence of polymers is typically studied by exciting in the UV region. In this case (see Fig. 12), the spectra of PET and PTT contain two bands at around 390 and 460 nm. These bands are assigned^{53–55} to the main-chain phenylene groups (390 nm) and to “excimer” or “dimer” formed (460 nm) as a consequence of the interaction between chromophores, *i.e.* between polymer chains. LIPSS result in the modification of the relative intensity of these two bands, the one at 390 nm decreasing in comparison with that at 460 nm, which is related to a different dimerization degree of the phenyl moiety of the polymeric chains.

4 Discussion

The results presented herein demonstrate LIPSS formation in the non-ablative regime on thin polymer films upon irradiation

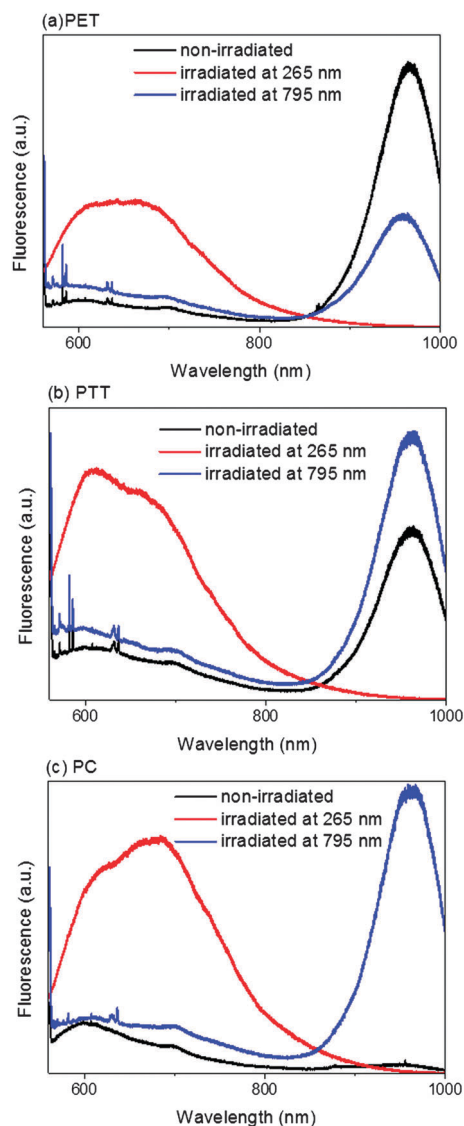


Fig. 11 Fluorescence spectra upon excitation at 532 nm of the spin-coated films non-irradiated and irradiated at 265 nm with 3300 pulses at 1.51 mJ cm^{-2} , and 795 nm with 50 000 pulses at 37 mJ cm^{-2} (a) PET, (b) PTT and (c) PC.

with fs laser pulses at UV (265 nm) and IR wavelengths (795 nm). Although in both cases the periodicity of the ripples nearly coincides with the laser irradiation wavelength, the fluences needed for LIPSS formation using the IR wavelength are much higher. Additional important features of the obtained LIPSS are their alignment parallel to the laser polarization direction, alike to the results shown by Kautek *et al.*^{12,13,16} for polyimide, and the fact that no ablation is produced by laser processing under the present experimental conditions. These characteristics configure a different scenario as compared with LIPSS obtained in polymer thin films with ns pulses,^{19,40} where the structures are only generated at strongly absorbed wavelengths, and with LIPSS generated on different materials (semiconductors, metals, and dielectrics),^{4,10,14,31,32,37,56} which show both LSFL and HSFL structures at the bottom of ablation craters.

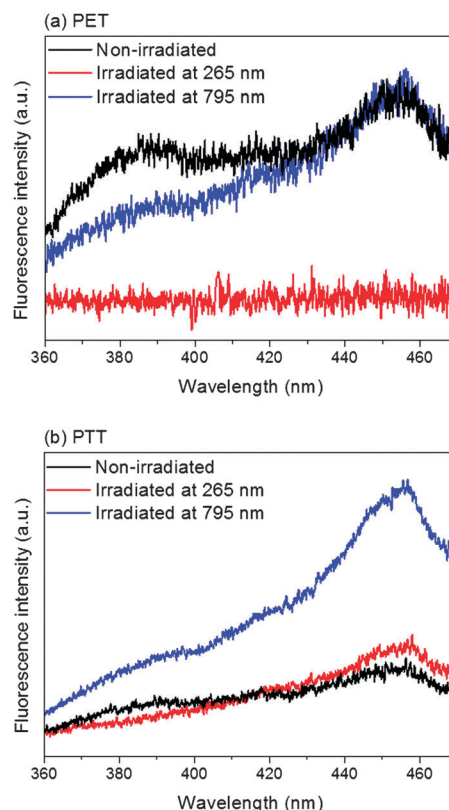


Fig. 12 Fluorescence spectra upon excitation at 325 nm of the spin-coated films non-irradiated and irradiated at 265 nm with 3300 pulses at 1.51 mJ cm^{-2} , and at 795 nm with 50 000 pulses at 37 mJ cm^{-2} (a) PET and (b) PTT.

As the periodicities of the ripples reported here nearly coincide with the laser irradiation wavelengths, the phenomenon can be attributed to scattering, diffraction and subsequent local-field enhancement effects.^{21,22,27–29,32} The absence of ablation in the nanostructuring of the thin polymer films upon irradiation under the present conditions accounts for a self-organization mediated process which does not require surface material removal. Additionally, a feedback process is clear, as repetitive irradiation is needed in order to observe LIPSS formation. The parallel orientation is supported by the Sipe theory.²² The orientation of the ripples with respect to the polarization of the incident laser light depends on the value of the dielectric permittivity of the substrate, $\epsilon = \epsilon' - i\epsilon''$, in such a way that the orientation of the ripples is parallel to the polarization when $|\epsilon'| < 1$ as is the case of dielectrics⁵⁷ and, in particular, of polymers.

As indicated, LIPSS are obtained upon irradiation at the weakly absorbed (in the linear regime) wavelength of 795 nm. This is in contrast to experimental observations in the ns case, where no LIPSS are detected in this spectral region. Due to the high intensities involved in the fs regime, non-linear absorption and ionization processes mediate the coupling of laser light with the outer layer of the films, providing the necessary process for effective absorption. In our case, the relative contribution of multiphoton (MP) and tunnel ionization processes on surface modification can be assessed by calculating the adiabaticity

parameter, γ , introduced by Keldysh,⁵⁸ $\gamma = (E_i/1.87 \times 10^{-13} I \lambda^2)^{1/2}$, where E_i is the molecular ionization potential (in eV), I the peak laser intensity (in W cm^{-2}) and λ is given in μm . Values obtained for both 795 and 265 nm at the fluences used for LIPSS formation¹⁵ are well above the unity, indicative of a MP ionization mechanism governing the initial light absorption step. The generation of quasi-free electrons at the early stages of the interaction with the laser pulse has been reported in the case of polymers.³⁹ As mentioned, in this case only LSFL are observed. The formation of HSFL has been reported in polymers upon irradiation with higher fluences, in the ablation regime.³⁴ In such a case different mechanisms must be involved, including changes in optical properties,³⁵ second harmonic generation³² and excitation of surface plasmon polaritons.³⁶

Upon irradiation with nanosecond laser pulses a very rapid heating of the target material followed by a fast cooling down of the affected volume to the surrounding temperature takes place, but despite the short times involved, all these processes take place under conditions of local thermal equilibrium. Differently, upon irradiation in the fs regime, pulses are short enough to address only the target electronic system, while all other effects, such as energy transfer to the lattice, ablation, and relaxation, only develop after the laser pulse has ceased. In the framework of a TTM, as described in the Introduction, the deposition of laser energy into the medium is mediated by the generation of quasi-free electrons which, by energy transfer to the substrate, are responsible for the temperature rise. The increase of temperature is approximated by the expression^{6,39} $\Delta T = \varepsilon/(\rho_0 C_p)$, where ε is the plasma energy density at the end of the laser pulse and ρ_0 and C_p are, respectively, the density and the heat capacity of the material. The plasma energy density is given by $\varepsilon = \rho_{\text{cr}}(9/4)E_i$, ρ_{cr} being the critical free electron density to generate both a strongly reflective and absorbing plasma. As discussed earlier,¹⁵ $\rho_{\text{cr}} \propto (2\pi/\lambda)^2 m_c \varepsilon_0 / e^2$, with ε_0 the vacuum dielectric permittivity, m_c the mass of the quasi-free electron in the conduction band and e the electron charge. Consequently, and given the dependence on the irradiation wavelength, for a given polymer, ΔT should be higher in the case of irradiation at 265 nm, thus also explaining the larger N and F values needed for LIPSS formation upon fs irradiation at 795 nm.

Related to the temperature increment are the experimental observations of the increase of the ripple period and height as a function of the fluence. Higher fluence leads to an increase of the superficial temperature, which in turn generates a softer material with lower superficial viscosity.⁵⁹ This allows the formation of wider structures characterized by larger periods at higher fluences.

This fact is also related to the dependence of the degree of structural order of LIPSS; for an optimal number of pulses applied to the sample, the increase of fluence leads to the formation of more ordered structures characterized by a higher degree of structural order and by larger periods, which is demonstrated by the lower value of g obtained from the simulation for the GISAXS patterns. Further irradiation provokes the loss of structural order; at high fluences, the temperature

attained on the surface increases, the depth affected increases, and finally the whole film, and not only a superficial layer, is affected. In this case the complete film undergoes devitrification. Under these circumstances film dewetting is likely to occur in addition to ablation of the film material taking place. Similar explanation can be given for the increase of period for a larger number of pulses; due to "incubation" effects together with the feedback mechanism, an increase of superficial temperature occurs, allowing polymer dynamics and LIPSS formation.

Considering the ensuing thermal effects induced by laser irradiation, as previously shown for polymer films irradiated with ns pulses,^{19,40} the temperature increase needed for LIPSS formation should be above the glass transition temperature and below the melting point of the material. In order to obtain LIPSS, a minimum fluence value is necessary to ensure surface heating above T_g to induce surface devitrification and to allow significant polymer segmental and chain dynamics. Temperature increase above T_g is expected to raise surface roughness caused by capillary waves, consequently enhancing surface inhomogeneities and facilitating the feedback mechanism involved in LIPSS formation.

Indirect information on dynamics of ripple formation can be obtained from the fluorescence spectra. As mentioned, the fluorescence band at 390 nm observed upon UV excitation has its origin in the aromatic polymer moiety that contains the terephthalic units. Since the ring is incorporated into the chain backbone, fluorescence from the ring is capable of providing accurate information about the rearrangement of phenyl moieties of the polymer at the molecular level. The relative intensity increase of the longer wavelength band observed at 460 nm, and assigned to excimer fluorescence, suggests a larger interaction among chromophores and/or higher level of dimers on the nanostructured surfaces. This is likely a consequence of the rearrangement of polymer chains after irradiation and subsequent heating above the glass transition temperature.

Spectroscopic analysis also gives information on chemical changes. Fluorescence increase caused by nanostructuring with UV laser light could be ascribed to the formation of additional fluorescent species, *e.g.* carbonyl groups and double bonds. In fact, oxidation and slight chemical modifications of polymer surfaces during LIPSS formation have been previously reported for irradiation with UV pulses in the ns regime^{18,40} while upon irradiation with IR laser light at 795 nm Raman spectroscopy shows a slight carbonization on PTT and PC, and a negligible effect on PET. Further studies are in progress in order to assign the emission band observed at 960 nm upon excitation at 532 nm, an effect which also seems to be related to nanostructuring. Fluorescence in the NIR region can be important for applications.

5 Conclusions

LIPSS parallel to the laser polarization vector are formed on the surface of spin-coated thin films of the polymers PET, PTT and PC upon irradiation with femtosecond pulses both at

795 and 265 nm. The period of the structures is similar to the laser irradiation wavelength. Formation of LIPSS upon IR irradiation at 795 nm, a wavelength at which the polymers absorb weakly in the linear regime, contrasts with the absence of LIPSS in this spectral range upon irradiation with nanosecond pulses. We thus demonstrate the possibility of tuning and controlling the morphology and size of periodic structures on the surface of thin polymer films by operating in the fs regime and by changing the irradiation wavelength, the fluence and the number of pulses. LIPSS formation in the femtosecond regime results from multiphoton absorption and ionization taking place at the early stages of the laser material interaction. Heating of the polymer surface at temperatures above the glass transition allows the softening of the material and decrease of viscosity facilitating enough mobility and enabling the formation of paracrystalline gratings.

Acknowledgements

Funding from MICINN, Spain (Projects CTQ2010-15680, FIS2009-09522, MAT2012-33517 and CSD2007-00013) and Junta de Castilla y León (Project SA086A12-2) is gratefully acknowledged. The experiments performed at BW4 in HASYLAB (DESY, Germany) were supported by the European Union (Contract RII3-CT-2004-506008 (IA-SFS)). We thank J. Perlich for his support during the measurements at HASYLAB. E.R., I.M.-F and M.H. thank MINECO (previous MICINN), Spain, for a Ramón y Cajal contract (RYC-2011-08069), a FPI fellowship and a PTA contract, respectively. We thank Z. Roslaniec and A. Szymczyk for providing the PTT samples.

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